

PLÉNIÈRE

Méthodologie des essais cliniques : vers une optimisation de la recherche de dose, comment les phases précoces s'adaptent aux nouvelles réglementations ?

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Sophie Postel-Vinay

30 novembre 2023

Dose finding methods for early phase trials

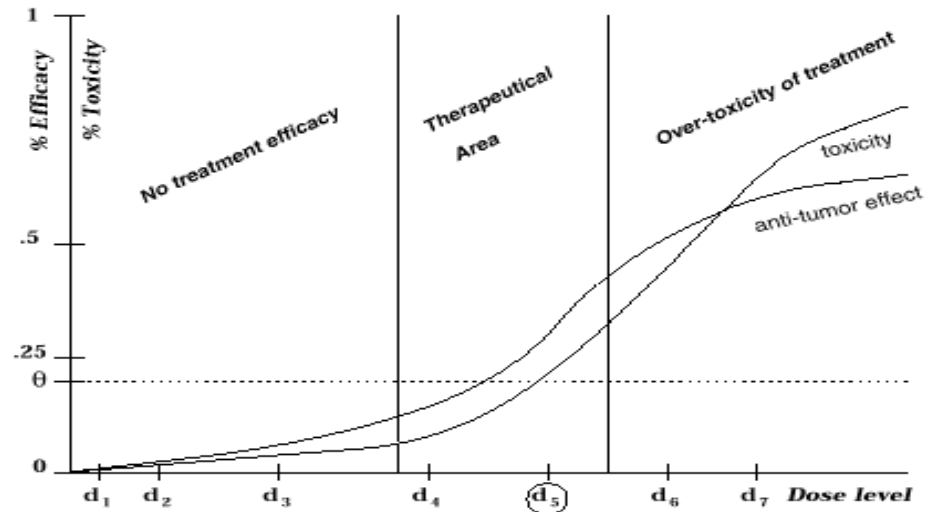
Xavier Paoletti

Curie / UVSQ-Paris Saclay / INSERM
U900 Statistics for personalized Medicine



ENSEMBLE, PRENONS LE
CANCER DE VITESSE

Phase I: A revolution at the era of immune checkpoint blockers



- A narrow therapeutic index: Still a paradigm?
- To find the MTD? Still the primary objective?
- To enroll between 15 et 25 patients at 6 to 8 dose levels?

Lancet Oncol 2022 Dec;23(12):1558-1570.

Zanidatamab, a novel bispecific antibody, for the treatment of locally advanced or metastatic HER2-expressing or HER2-amplified cancers: a phase 1, dose-escalation and expansion study

[Funda Meric-Bernstam et al.](#)

- « In Part 1 (n=46), no dose-limiting toxicities were detected and the **maximum tolerated dose was not reached.** »
- n=22 for biliary tract cancer; n=28 for colorectal cancer; and n=36 for other HER2-expressing or *HER2*-amplified cancers excluding breast or gastro-oesophageal cancers;
total n=86
- In part 2, **31 (37%; 95% CI 27.0–48.7)** of 83 evaluable patients had a confirmed objective response

Pembrolizumab Phase I Study

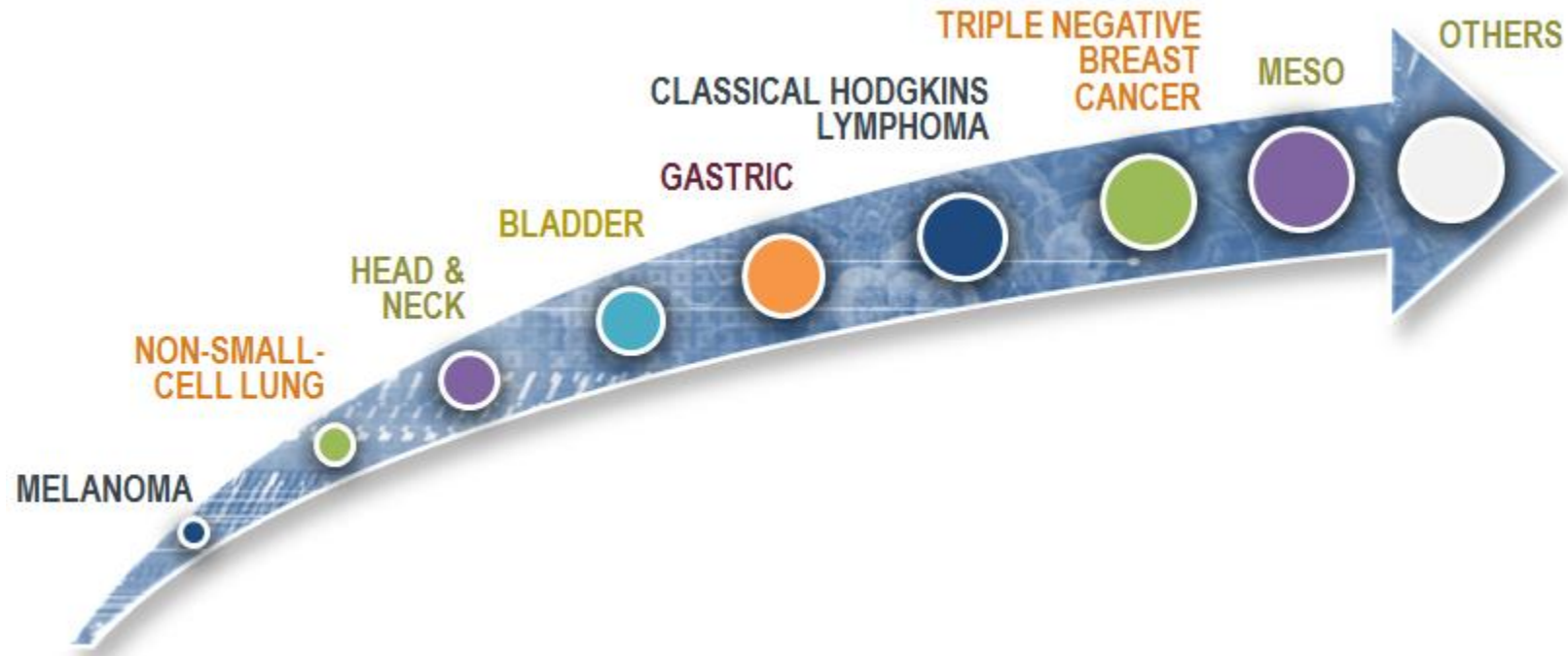
NCT01295827

PN-001, Phase I study, began in 2011

Initially a 32 patient study

Actually enrolled over 1260 patients

Became basis for FDA Breakthrough designation in melanoma + lung cancer



By courtesy of Pr J-C Soria

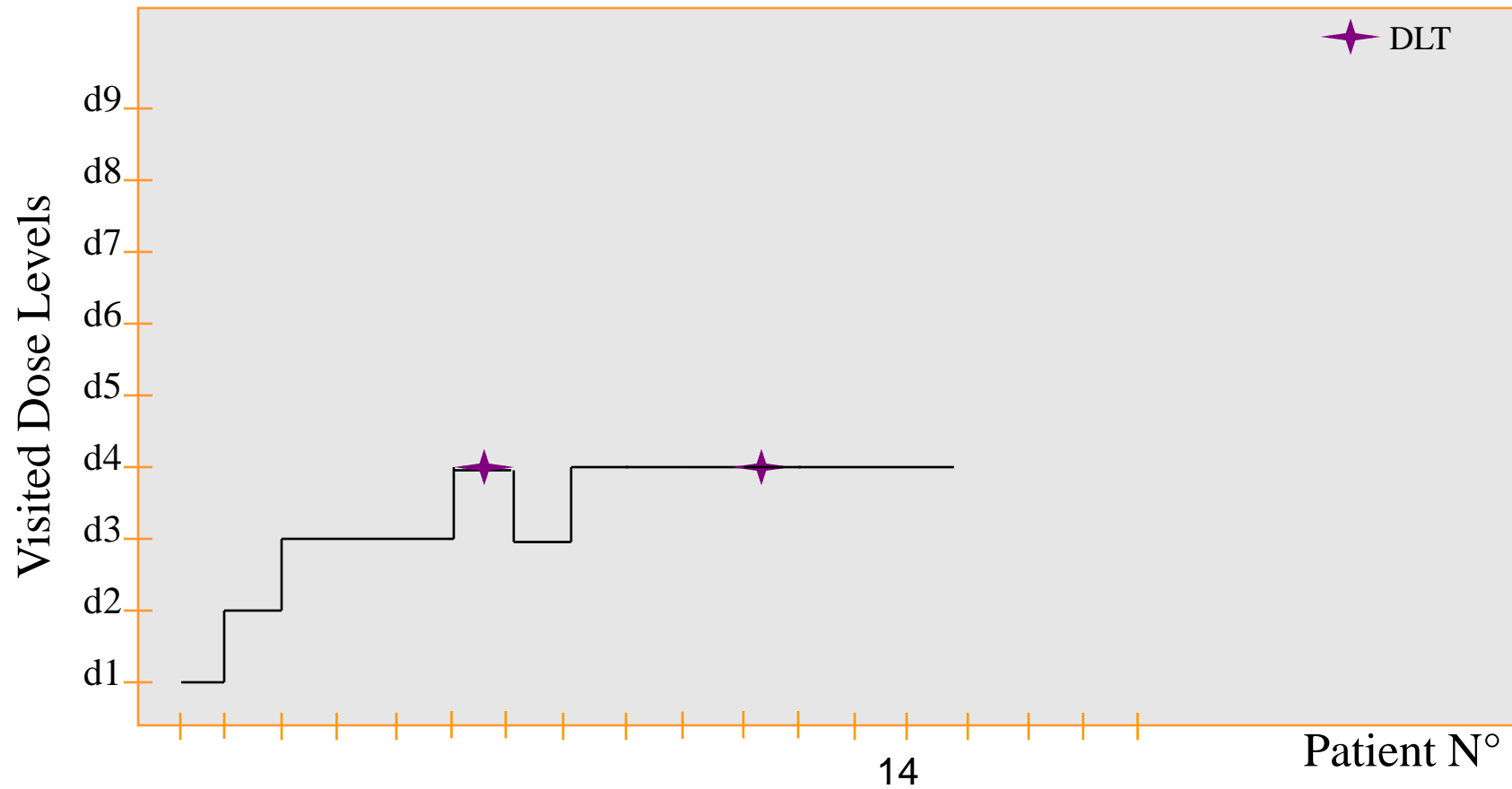
Statistical methods in phase I trial: from dose finding to toxicity monitoring?

- 3+3 method less and less used
(but still quite common)
- An approach to screen dose levels
- But
 - Who is convinced by $n=3$ or $n=6$ patients?
 - Very conservative!
⇒ too many patients at too low dose levels
 - Not flexible. Hard to deal with:
 - extra patients
 - late toxicity or reassessment of toxicity
 - Different acceptable risks of tox

CRM: principle

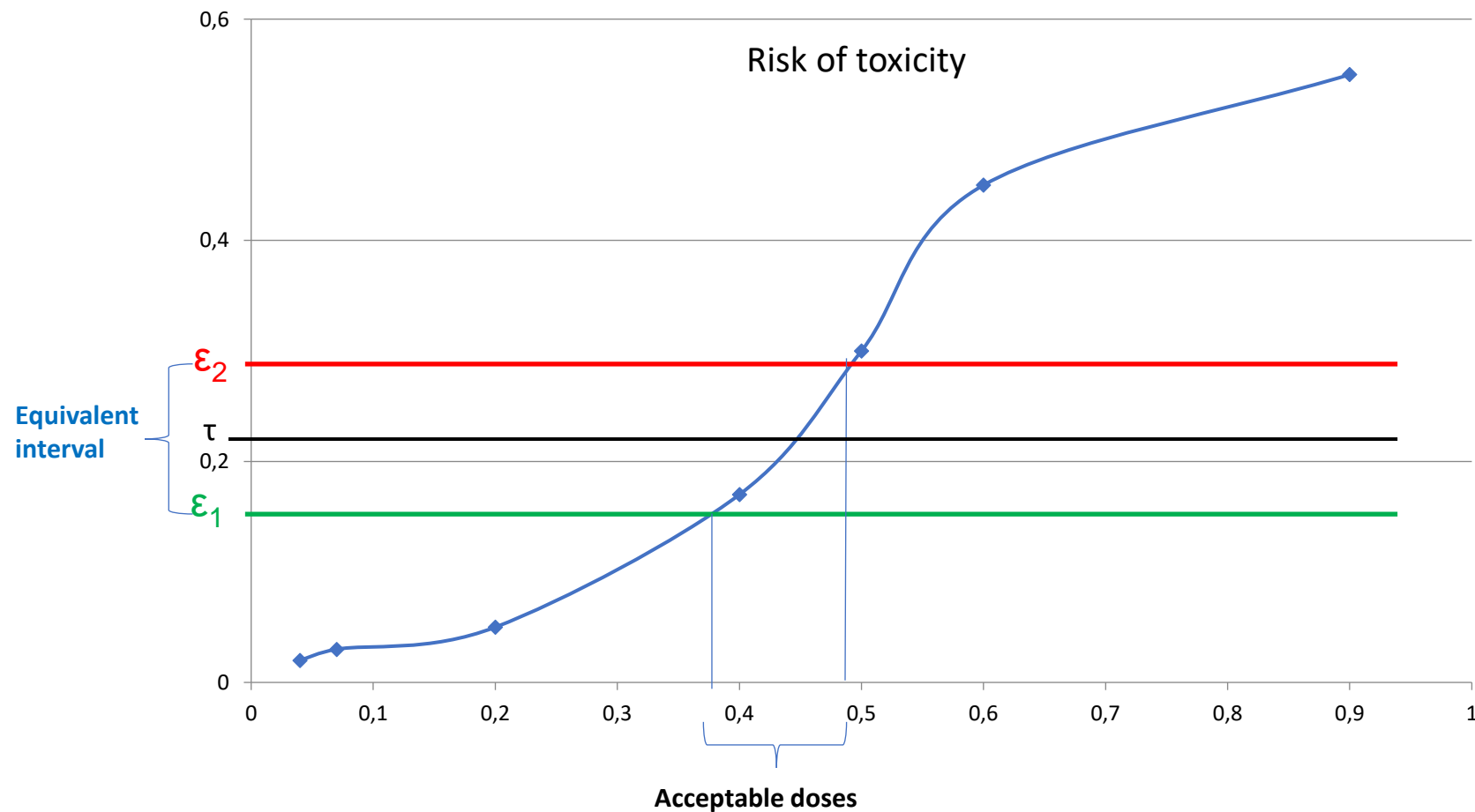
- Basic idea is to allocate every patient at the current best estimate of the MTD.
- Model guided:
 - Fit a model of the dose-toxicity curve on ALL the previous data
 - Estimate the probabilities of DLT at each dose
 - ⇒ Next dose = dose whose estimate is closest to the target 20%
(best current estimate of the MTD)
 - Treat the next patient at the recommended dose

CRM: Example



Bayesian Optimal Interval (BOIN)

1. Define the MTD as an interval of “acceptable” risk of DLT around the target $\tau : (\epsilon_1, \epsilon_2)$



Bayesian Optimal Interval (BOIN)

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Recommended:

$$\tau = 0.25$$

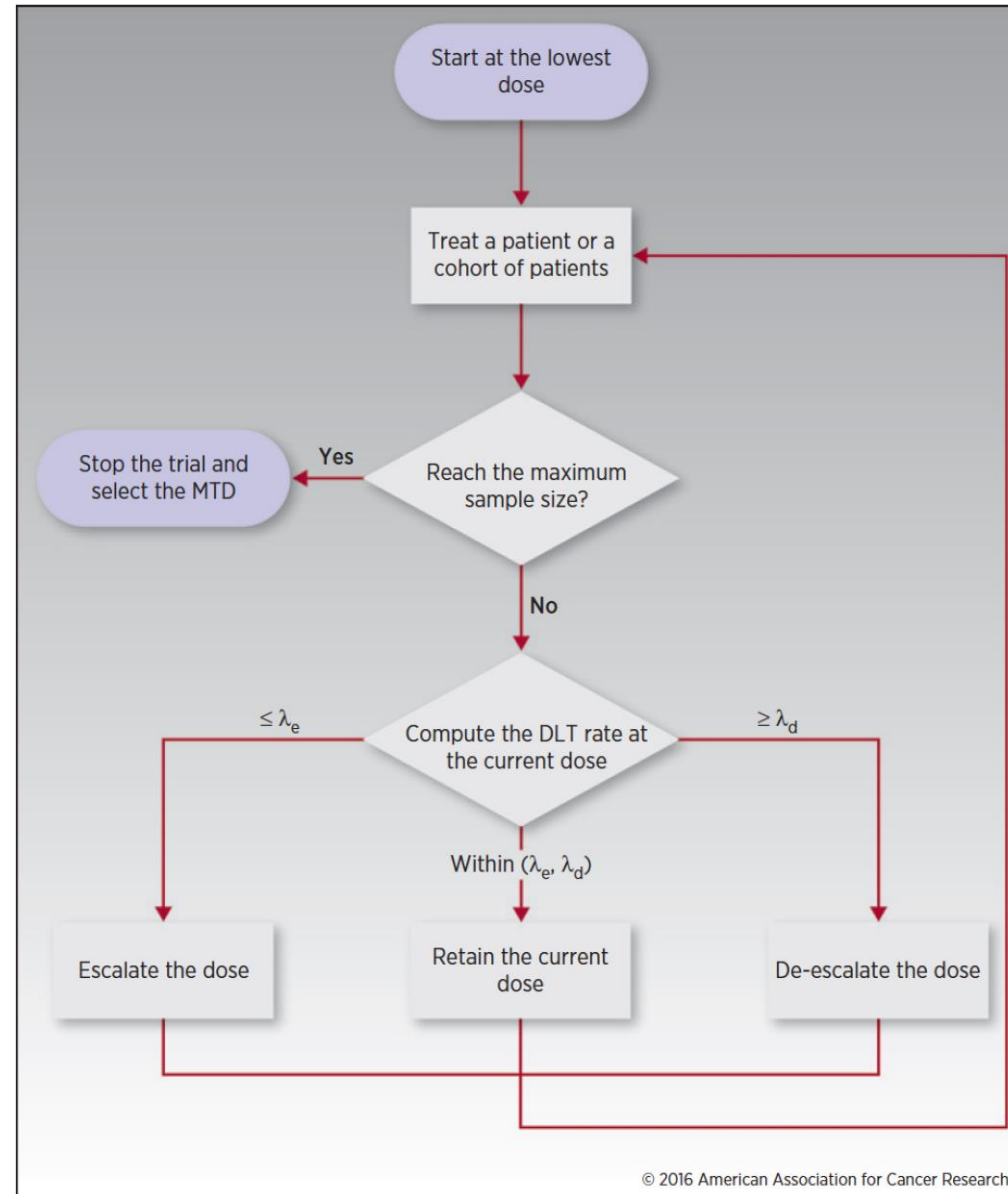
$$\epsilon_1 = 0.25 - 0.10 = 0.15 \quad (\text{underdosing})$$

$$\epsilon_2 = 0.25 + 0.10 = 0.35 \quad (\text{overdosing})$$

→ Defines the boundaries, λ_e and λ_d for (de)-escalating levels

Target toxicity rate τ						
Boundaries	0.15	0.20	0.25	0.3	0.35	0.40
λ_e	0.12	0.16	0.20	0.24	0.28	0.32
λ_d	0.18	0.24	0.30	0.36	0.42	0.48

Bayesian Optimal Interval (BOIN)



Yuan (CCR 2016)

Spanning from phase I to phase IIa: the expansion cohort

- Objective:

To document preliminary sign of activity

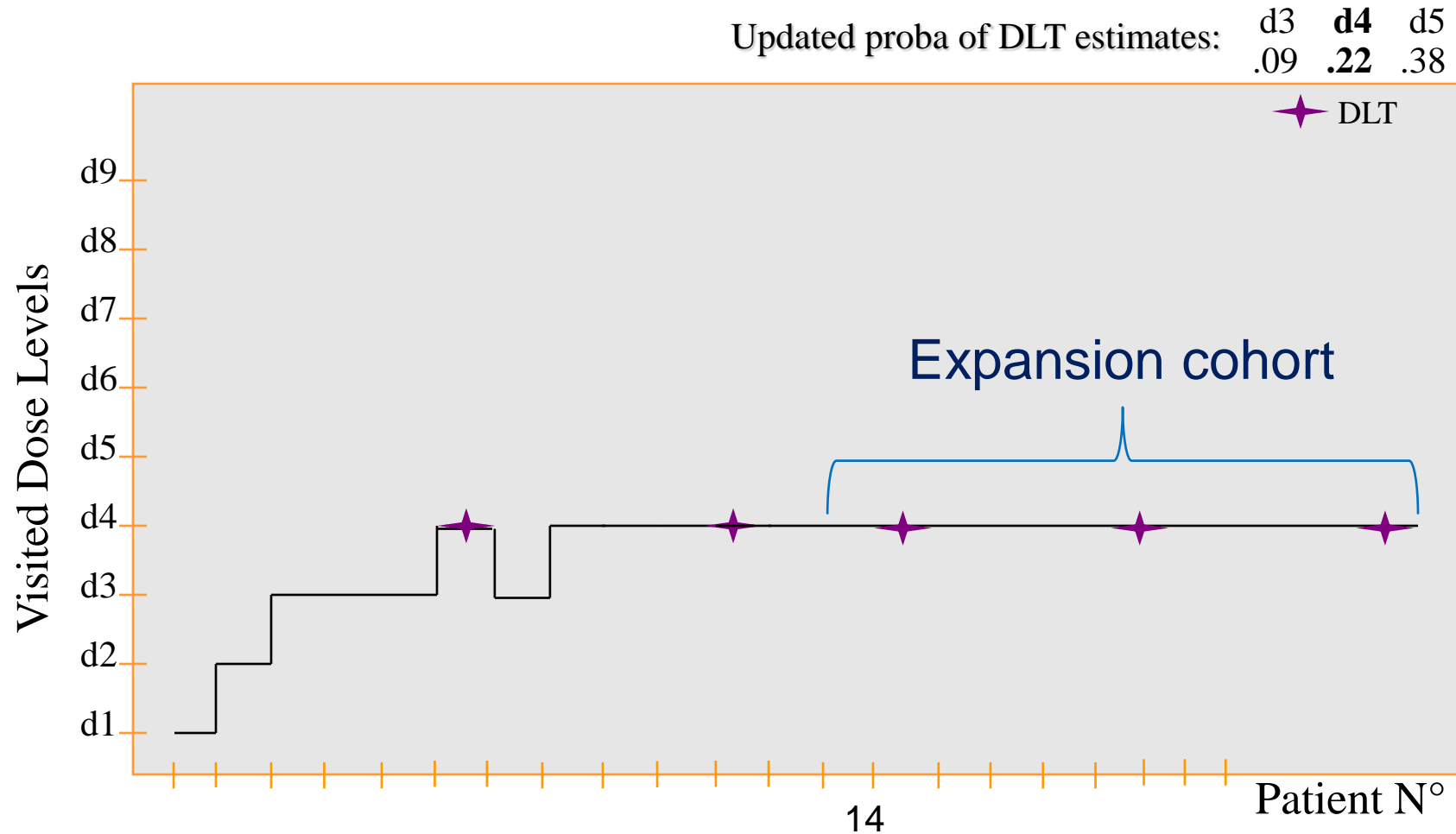
- Then

- **Compute sample size to control error rates**

(as a first step of a phase II design)

- For instance 15 patients
if no clinical responses → 95% chance that activity <20%
- Use all patients treated at the RPIID with the selected disease
- Use the CRM (or BOIN) to update the estimate of the toxicity
→ control for safety

From dose finding to toxicity monitoring



« To document preliminary sign of activity_»

Lancet. 2022 August 13; 400(10351): 512–521. doi:10.1016/S0140-6736(22)01390-3.

- ORR doubled in phase I trials
10% to 18%
but not in single agent trials
ORR <5%
- ORR cannot be compared across doses
- Why not using more sensitive endpoints?
 - cDNA
 - CTC

➔ Investigate the **dose activity** curve

Evolving Landscape of Early Drug Development in Solid Tumors: Analysis of National Cancer Institute Sponsored Phase 1 Trials

Dai Chihara, MD^{1,2}, Ruitao Lin, PhD³, Prof. Christopher R. Flowers, MD¹, Shanda R. Finnigan, MPH⁴, Lisa M. Cordes, Pharm.D⁵, Yoko Fukuda, MD⁴, Erich P. Huang, PhD⁶, Larry V. Rubinstein, PhD⁶, Loretta J. Nastoupil, MD¹, S. Percy Ivy, MD⁴, James H. Doroshow, MD⁴, Naoko Takebe, MD⁴

Sample sizes and equipoise...

Iberdomide plus dexamethasone in heavily pretreated late-line relapsed or refractory multiple myeloma (CC-220-MM-001): a multicentre, multicohort, open-label, phase 1/2 trial
[Sagar Lonial et al.](#) Lancet Haematology (2022)

- We conducted a multicohort, open-label, phase 1/2 trial (CC-220-MM-001) at 42 treatment centres in Europe, Canada, and the USA.
- 197 were treated with iberdomide plus dexamethasone (90 patients in the dose-escalation cohort and 107 in the dose-expansion cohort)
- Ready to randomize now?

Introducing randomization in expansion cohorts

- Randomize the first patient...
At least as soon as you as you have the first responses
- Randomized Phase II are more informative than single arm trials
Phase I/II should be randomized

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nature communications



Article

<https://doi.org/10.1038/s41467-023-42744-y>

Paclitaxel plus carboplatin and durvalumab with or without oleclumab for women with previously untreated locally advanced or metastatic triple-negative breast cancer: the randomized SYNERGY phase I/II trial

- The phase I part included 6 patients
- In the phase II part, 127 women were randomized 1:1
- Keep on monitoring toxic side events
- Investigate cumulative toxicity

Introducing randomization in phase I?

nature medicine



Article

<https://doi.org/10.1038/s41591-022-02141-2>

Vorasidenib and ivosidenib in IDH1-mutant low-grade glioma: a randomized, perioperative phase 1 trial

Received: 29 March 2022

Ingo K. Mellinghoff^{1,14}✉, Min Lu^{2,12,14}, Patrick Y. Wen³, Jennie W. Taylor⁴,

Phase I clinical trials

- An important step
- That should clearly be designed for activity assessment
- With the ambition to select
 - A right dose
 - With a promising activity on short-term endpoint
 - In a promising population